

S/N 09/844,477

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	MALANEY	Examiner:	Shick C. Hom
Serial No.:	09/844,477	Group Art Unit:	2616
Filed:	27 April 2001	Docket No.:	03961.0046US01
Title:	TELECOMMUNICATIONS TRAFFIC REGULATOR		

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 851835916 US

Date of Deposit: 6 September 2007

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

By: 

Name: Ranell F. Schmidt

**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in an European Search Report mailed 6 February 2007. Enclosed for the Examiner's information is a copy of the European Search Report.

This statement should be considered because it is submitted before the mailing of a first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. § 1.98(a)(2). Because this application was filed after June 30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.



Dated: 6 September 2007

BHB/cjc

Respectfully submitted,

MERCHANT & GOULD P.C.  
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By

A handwritten signature in black ink, appearing to read "L. W. Batzli", written over a horizontal line.

Brian H. Batzli  
Reg. No. 32,960

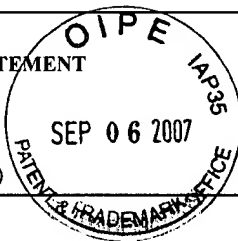
6 September 2007

FORM 1449\*

## INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)



Docket Number:

03961.0046US01

Application Number:

09/844,477

Applicant: MALANEY

Filing Date: 27 April 2001

Group Art Unit: 2616

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 98/37708	8/1998	WIPO				
	WO 96/34469	10/1996	WIPO				
	EP 0 658 999 A2	6/1995	Europe				
	EP 0 873 037 A1	10/1998	Europe				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Duffield et al. "Entropy of ATM Traffic Streams: A Tool for Estimating QoS Parameters." <i>IEEE Journal on Selected Areas in Communications</i> . Vol. 13, No. 6. August 1995. pp. 981-990.
	Duffield et al. "Estimating QoS Parameters for ATM Traffic Using Its Entropy." <i>Performance Modelling and Evaluation of ATM Networks</i> . Vol. 1. 1995. pp. 411-427.
	Kulkarni et al. "Leaky Buckets: Sizing and Admission Control." <i>Proceedings of the 35th Conference on Decision and Control</i> . December 1996. pp. 785-790.
	Fan et al. "Fast and Accurate estimation of ATM quality-of-service parameters with applications to call admission control." <i>IEE Proc-Commun</i> . Vol. 145, No. 3. June 1998. pp. 133-140.
	Hsu et al. "Admission control for multi-class ATM traffic with overflow constraints." <i>Computer Networks and ISDN Systems</i> . Vol. 28. 1996. pp. 1739-1751.

23552

PATENT TRADEMARK OFFICE

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.